

www.DAU.edu



Foundational Learning



Workflow Learning



Performance Learning

T.R. "Randy" Pilling

Center Director

Acquisition & Program Management

Foundational Learning Directorate

Randy.Pilling@dau.edu

6 Jan 2019



DoDI 5000.81. Urgent Capability Acquisition, Dec 31. 2019



DoDD 5000.81, Urgent Capability Acquisition

DoDD 5000.81, December 31, 2019, is a new instruction that implements the Urgent Capability Acquisition Pathway of the *Adaptive Acquisition Framework*, and replaces Urgent Capability Acquisition, Enclosure 13, DoDI 5000.02, *Operation of the Defense Acquisition System*, January 7, 2015, change 5, October 21, 2019.



DoD INSTRUCTION 5000.81 URGENT CAPABILITY ACQUISITION

Originating Component: Office of the Under Secretary of Defense for Acquisition and Sustainment

Effective: December 31, 2019

Releasability: Cleared for public release. Available on the Directives Division Website at <http://www.esd.whs.mil/DD/>.

Incorporates and Cancels: Enclosure 13 of DoD Instruction 5000.02, "Urgent Capability Acquisition," January 7, 2015, as amended

Approved by: Ellen M. Lord, Under Secretary of Defense for Acquisition and Sustainment

Purpose: In accordance with the authority in DoD Directive (DoDD) 5134.01 and the July 13, 2018 Deputy Secretary of Defense Memorandum, this issuance establishes policy, assigns responsibilities, and provides procedures for acquisition programs that provide capabilities to fulfill urgent operational needs and other quick reaction capabilities that can be fielded in less than 2 years.



DoDI 5000.81, Urgent Capability Acquisition, Dec 31, 2019

Topics

Topics

- Summary of Major Provisions
- Adaptive Acquisition Framework, Urgent Capability Acquisition Pathway
- Responsibilities
- Types of Urgent Operational Needs (UONs)
- Flow of Joint Urgent Operational Needs (JUONs) and Joint Emergent Operational Needs (JEONs)
- Acquisition of UONs

New/revised text from DoDI 5000.02, Encl 13, Jan 2015, is highlighted in red as appropriate throughout



DoDI 5000.81, Urgent Capability Acquisition, Dec 31, 2019

Contents

Contents of DoDI 5000.81, Summary of Major Provisions

- Implements Urgent Capability Acquisition Pathway
- Assigns Responsibilities to:
 - USD(Acquisition & Sustainment) *(new)*
 - USD(Research & Engineering) *(new)*
 - USD(Intelligence) *(new)*
 - USD(Comptroller)/Chief Financial Officer *(new)*
 - DoD Component Heads *(revised)*
 - Director, Operational Test & Evaluation *(revised)*
 - Executive Director, Joint Rapid Acquisition Cell *(new)*
- Describes Authorities, Urgent Operational Needs (UONs), and Other Quick Reaction Capabilities *(minor revisions)*
- Flow of Joint Urgent Operational Needs (JUONs) and Joint Emergent Operational Needs (JEONs) *(new)*
- Provides Procedures for Acquiring UONs *(minor revisions)*

Adaptive Acquisition Framework (new)

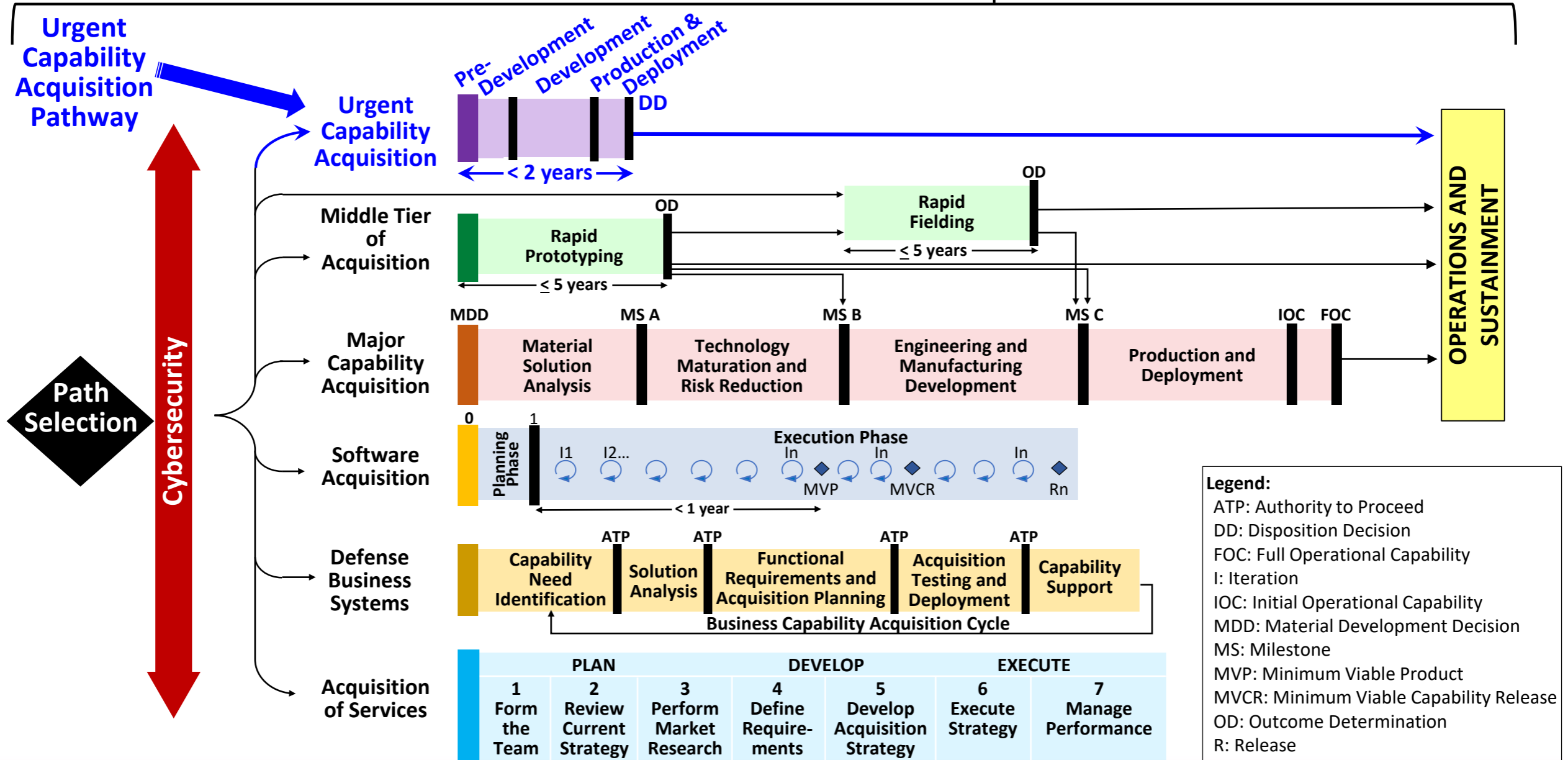
Adapted from Fig. 1, DoDI 5000.81

Tenets of the Defense Acquisition System

1. Simplify Acquisition Policy
2. Tailor Acquisition Approaches
3. Empower Program Managers
4. Data Driven Analysis
5. Active Risk Management
6. Emphasize Sustainment

DoDD 5000.01: The Defense Acquisition System

DoDI 5000.02: Operation of the Adaptive Acquisition Framework





DoDI 5000.81, Urgent Capability Acquisition, Dec 31, 2019

Responsibilities *(changes from DoDI 5000.02, Jan 2015 are highlighted in red)*

- **Under Secretary of Defense for Acquisition and Sustainment (USD(A&S)). *(new)***
 - Develops processes and procedures to enable urgent capability acquisitions and communicates updates to procedures to the acquisition workforce, as appropriate.
 - Determines when a program is not appropriate for the Urgent Capability Acquisition pathway. The USD(A&S) may disapprove use of the Urgent Capability Acquisition pathway and direct that any program be executed using another acquisition pathway



DoDI 5000.81, Urgent Capability Acquisition, Dec 31, 2019

Responsibilities *(changes from DoDI 5000.02, Jan 2015 are highlighted in red)*

- **Executive Director, Joint Rapid Acquisition Cell (JRAC). *(new)***
 - Assigns responsibilities to the DoD Component heads for rapid resolution of joint urgent operational needs (JUONs), joint emergent operational needs (JEONs), and Warfighter Senior Integration Group (SIG)-identified urgent issues in accordance with DoDD 5000.71.
 - Notifies the Under Secretary of Defense (Comptroller)/Chief Financial Officer, Department of Defense ((USD(C)/CFO)) of urgent need requirements, assigned solution sponsors, and resources needed to facilitate the timely resolution of urgent warfighter needs. Assists in the resolution of funding issues, as required.
 - Maintains visibility of DoD Component efforts to satisfy urgent warfighter actions based on updates from solution sponsors and informs the Warfighter SIG of the status of funding, contracting, delivery, performance, training, fielding, sustainment, or other issues affecting timely fulfilment.



DoDI 5000.81, Urgent Capability Acquisition, Dec 31, 2019

Responsibilities, continued..

- **Under Secretary of Defense (Comptroller)/Chief Financial Officer, Department of Defense ((USD(C)/CFO)). *(new)***
 - Assesses DoD Component funding strategies to support urgent operational needs (UONS) and other quick reaction capabilities and identifies and recommends current execution year offsets, as necessary.
- **Director Operational Test and Evaluation (DOT&E) *(revised)*.**
 - Monitors all urgent capability acquisition programs. *(new)*
 - Designates select programs for DOT&E operational and live fire testing. *(new)*
 - For programs under DOT&E oversight, approves operational and *live fire test plans*. *(revised)*
 - For programs under DOT&E oversight, approves post-deployment assessment plans at the Production and Deployment Milestones.
 - As applicable, submits independent operational test and live fire reports to the Secretary of Defense, the USD(A&S), the Congressional Defense Committees, and Service components. *(new)*



DoDI 5000.81, Urgent Capability Acquisition, Dec 31, 2019

Responsibilities, continued..

Draft, 6 Jan 2020

- **Under Secretary of Defense for Intelligence (USD(I)). *(new)***
 - Assesses DoD Component funding strategies to support urgent operational needs (UONS) and other quick reaction capabilities and identifies and recommends current execution year offsets, as necessary.
 - Advises the USD(A&S) on security, intelligence, and counterintelligence requirements associated with DAE program technologies, developmental testing, and technical risks.
 - Advises the Components on security, counterintelligence, and intelligence matters associated with their Urgent Capability Acquisition programs and works with them to assess threats and address vulnerabilities.



DoDI 5000.81, Urgent Capability Acquisition, Dec 31, 2019

Responsibilities, continued..

- **Under Secretary of Defense for Research & Engineering (USD(R&E)). *(new)***
 - Assists and advises the Executive Director, JRAC and solution sponsors by:
 - Reviewing CJCS validated JUON/JEON requirements and providing recommendations for their resolution.
 - Requesting data to provide technical advice and assistance to decision makers consistent with the level of Office of the USD(R&E) engagement.



DoDI 5000.81, Urgent Capability Acquisition, Dec 31, 2019 Responsibilities, continued..

DoD Component Heads *(minor changes from DoDI 5000.02, Jan 2015, change 5, Oct 2019)*

- ~~MDAs and program managers will~~ Tailor and streamline program strategies and oversight of urgent capability acquisitions, as appropriate.
 - This includes program information, acquisition activity, and the timing and scope of decision reviews and decision levels.
 - Tailoring and streamlining should be based on program complexity and the required timelines to meet urgent need capability requirements consistent with applicable laws and regulations.



DoDI 5000.81, Urgent Capability Acquisition, Dec 31, 2019 Responsibilities, continued..

DoD Component Heads, continued.. *(minor changes from DoDI 5000.02, Jan 2015, change 5, Oct 2019)*

- ~~• Consistent with the emphasis on urgency, if the desired capability cannot be delivered within 2 years, the MDA will assess the suitability~~ Assess the **suitability merits** of partial or interim **solutions** that can be fielded more rapidly, if the desired capability cannot be delivered within 2 years.
 - In those cases, the actions necessary to develop the desired solution may be initiated concurrent with the fielding of the interim solution.
 - ~~– Urgent~~ **Critical** warfighter issues (**see Glossary**) identified by the Warfighter SIG, ~~per~~**in accordance with** DoDD 5000.71, will be addressed as determined by the co-chairs of the Warfighter SIG.
 - **Ensure** Acquisition decision making, and associated activity ~~will be~~ are tailored to expedite acquisition of the capability.



DoDI 5000.81, Urgent Capability Acquisition, Dec 31, 2019

Responsibilities, continued..

DoD Component Heads, continued.. *(minor changes from DoDI 5000.02, Jan 2015, change 5, Oct 2019)*

- **Ensure that** Acquisition decision making and associated ~~activities~~ activity ~~will be~~ **are** tailored to expedite acquisition, ~~fielding and sustainment~~ of the capability. ~~Development will generally be limited, and the MDA can authorize~~ Production can be authorized in those cases when there is limited development and a production decision is needed to maintain schedule.
- ~~Generally, funds will have to be reprioritized and/or reprogrammed to expedite the acquisition process.~~ **Use all available authorities to expeditiously fund, develop, test, procure, field, and sustain urgent need solutions. Generally, funds will have to be reprioritized and/or reprogrammed.** If a capability can be fielded within an acceptable timeline through normal PPBE system, it is not considered appropriate for urgent capability acquisition.



DoDI 5000.81, Urgent Capability Acquisition, Dec 31, 2019 Responsibilities, continued..

DoD Component Heads, continued.. *(minor changes from DoDI 5000.02, Jan 2015, change 5, Oct 2019)*

- Identify and recommend current execution year offsets to fund each urgent need action and participate in funding discussions between the Executive Director, JRAC, and the USD(C)/CFO.
- ~~DoD Components will~~ Employ, to the extent possible, parallel rather than sequential processes to identify and refine capability requirements, identify resources, and execute acquisitions to expedite delivery of solutions. Formal milestone events may not be required.
- ~~DoD Components will~~ Ensure financial, contracting, and other support organizations (e.g., Defense Contract Audit Agency, Defense Contract Management Agency, General Counsel) and prime and subcontractors involved with aspects of the acquisition program are fully aware of the urgency of the need and will ensure expedited action.



DoDI 5000.81, Urgent Capability Acquisition, Dec 31, 2019

Types of Urgent Operational Needs (UONs)

- **Joint Urgent Operational Needs (JUON) and Joint Emergent Operational Needs (JEON)**
 - ~~These are either an urgent need identified by a Combatant Commander, the Chairman of the Joint Chiefs of Staff (CJCS), or the Vice Chairman of the Joint Chiefs of Staff (VCJCS) involved in an ongoing contingency operation (i.e., a JUON) or an emergent need identified by a Combatant Commander, CJCS, or VCJCS for an anticipated or pending contingency operation (i.e., a JEON).~~
 - Program execution for JUONs and JEONs will be assigned in accordance with DoDD 5000.71.
 - **These types of UONs are defined in CJCS Instruction 5123.01H.**
 - For JUONs and JEONs, the validation approval will be by the Joint Staff in accordance with the Joint Capability Integration Development System detailed in CJCS Instruction ~~3170.01~~ **5123.01H.**
 - MDA for JUONs and JEONs will be determined at DoD Component level, except in rare cases when the MDA may be designated in an ADM signed by the DAE



DoDI 5000.81, Urgent Capability Acquisition, Dec 31, 2019

Types of Urgent Operational Needs (UONs)

- **Component-specific UONs.** These types of UONs are defined in CJCS Instruction ~~3170.01~~ 5123.01H and further discussed in DoDD 5000.71. Approval authorities for DoD Component ~~specific~~-UONs, including their validation, program execution, and the designation of the MDA, will be at the DoD Component level.

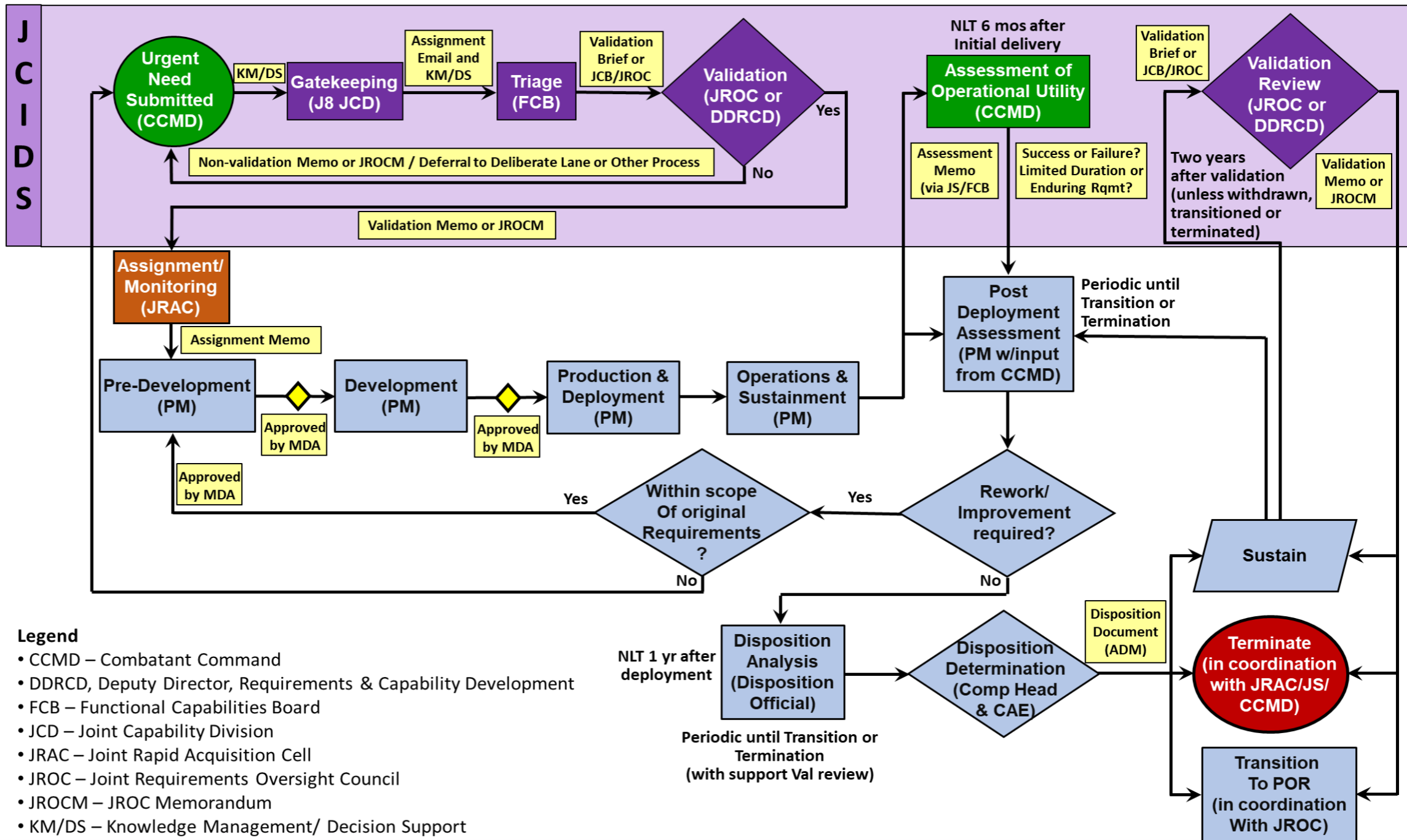
Approval authorities may be delegated to a level that promotes expeditious fielding of capabilities.

DoDI 5000.81, Figure 2. Flow of JUONs & JEONs *(new)*

This figure illustrates the flow of JUONs & JEONs thru the JCIDS process, development, production & deployment, operations & sustainment, and disposition.

JCIDS activities are explained in the JCIDS Manual.

Acquisition activities are explained in DoDI 5000.81., and **summarized** later in this presentation





DoDI 5000.81, Urgent Capability Acquisition, Dec 31, 2019

Types of Urgent Operational Needs (UONs), Other

- **Warfighter Senior Integration Group (SIG) Identified Urgent Issue.**

~~This is a critical warfighter issue, e.g., materiel support to a coalition partner, identified by the Co-Chairs of the Warfighter SIG in accordance with DoD Directive 5000.71.* Co-chairs of the Warfighter SIG will approve a critical~~ **an urgent** warfighter issue statement and provide instructions to DoD Components on program execution and management.

- **Secretary of Defense (SecDef) or DepSecDef Rapid Acquisition Authority (RAA) Determination.**

~~This is a Secretary of Defense or Deputy Secretary of Defense signed determination that is made in response to a documented deficiency following consultation with the Joint Staff.* RAA should be considered when, within certain limitations, a waiver of a law, policy, directive, or regulation will greatly accelerate the delivery of effective capability to the warfighter in accordance with~~ **pursuant to** Section 806(c) of Public Law (P.L.) 107-314. DoD Manual 5000.78 provides instructions on submitting RAA requests.

RAA authorizes DoD to use funds for certain higher priority requirements without undertaking a reprogramming action or with use of a transfer authority. DoD Manual 5000.78 provides instructions on submitting RAA requests.

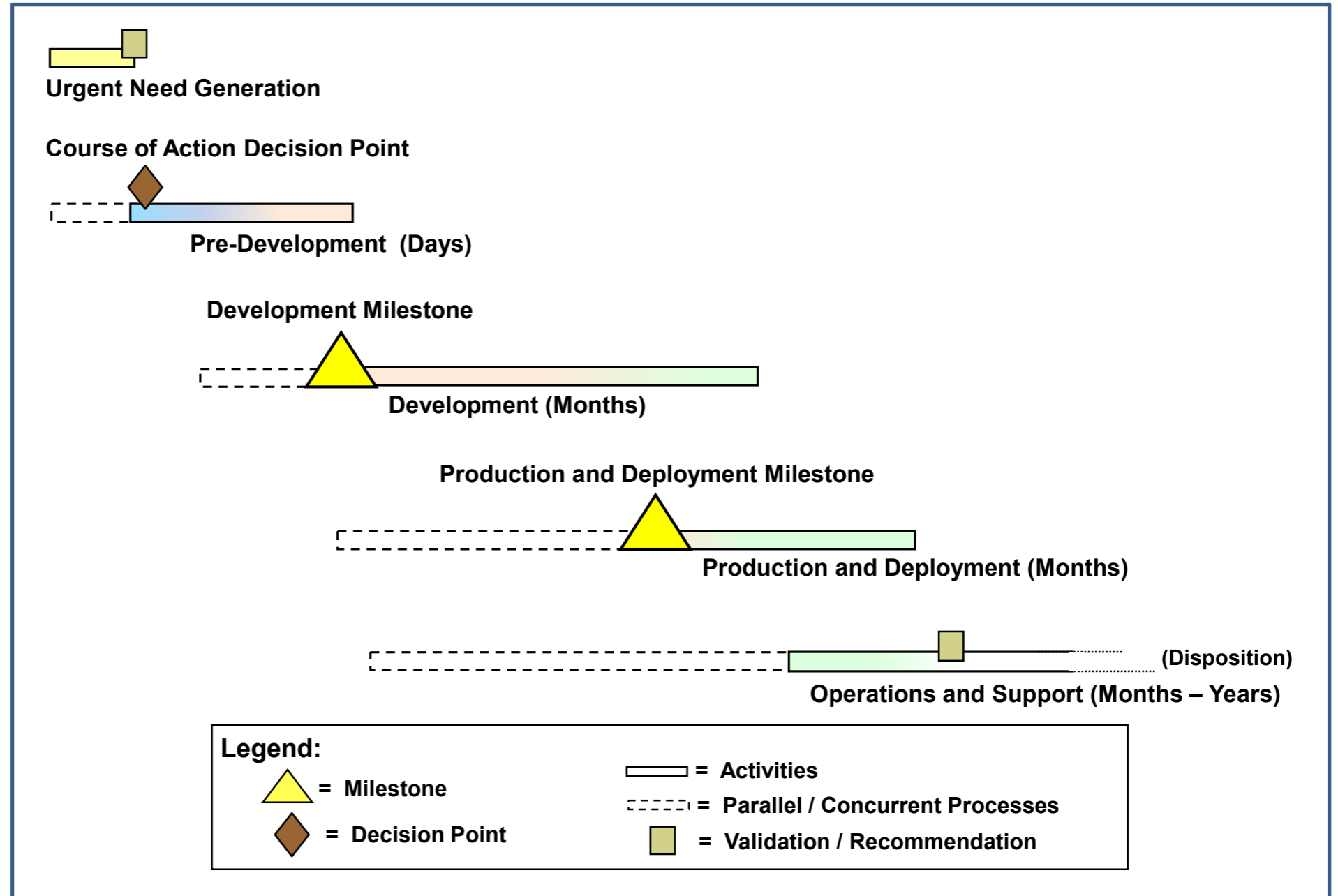
*Definition moved to Glossary

DoDI 5000.81, Figure 3. Urgent Capability Acquisitions

DoDI 5000.81 describes each of these steps in detail (**no change from DoDI 5000.02, Jan 2015**). This figure is also in the JCIDS Manual

Next series of charts summarize this activity. For details see DoDI 5000.81.

Significant changes from encl 13, DoDI 5000.02, Jan 2015, are highlighted in red.





Urgent Capability Acquisition

Pre-Development Activities (summarized)

- **Purpose:** Assess and select a course or courses of action (COA or COAs) to field a quick reaction capability and to develop an acquisition approach.
- **Initiation:** Receipt of either a validated UON, approval of a critical warfighter issue statement by the Co-chairs of the Warfighter SIG, or a SecDef or DepSecDef RAA determination document.
- **Activities:**
 - CAE appoints PM and designates an MDA. If DAE retains MDA authority, the DAE will appoint a PM or ask a CAE to do so.
 - PM, in collaboration with user and requirements validation authority:
 - Assesses the required capability and non-materiel options
 - Determines performance thresholds; ensure they are testable



Urgent Capability Acquisition

Pre-Development Activities (summarized), continued..

- **Activities, continued:**

- Performs an analysis of potential courses of action, if not already performed, that considers:

1. The range of feasible capabilities, near, mid, and long term, to include consideration of an existing domestic or foreign-made capability.
2. The acquisition risk (cost, schedule, and performance) and the operational risk of each solution.
3. The operational risk to the requesting commander if an effective solution is not deployed in the time specified by the commander.

- **Assesses and documents safety and supportability risks**

- Presents recommended COA to MDA and requirement validation authority
- MDA selected COA documented in ADM (more than one COA may be selected to provide phase or incremental fielding of capabilities)



Urgent Capability Acquisition

Pre-Development Activities (summarized), continued..

- **Activities, continued:**

- PM develops draft acquisition strategy (must include planning for O&S) and APB for each COA
- The acquisition strategy will comply with the ~~requirements in Table 11 of this enclosure and the items in Table 2 of Enclosure 1 that are required for ACAT II and III programs (unless modified by Table 11);~~ information requirements applicable to ACAT II and ACAT III programs available online at <https://www.dau.edu/mdid/Pages/Default.aspx>:
 1. Table 2. “Milestone and Phase Information Requirements.”
 2. Table 6. “Exceptions, Waivers, and Alternative Management and Reporting Requirements.”
 3. Table 10. “Information Requirements Unique to the Urgent Capability Acquisition Process” (also shown in Table 1 of DoDI 5000.81).
- A streamlined, highly-tailored strategy consistent with the urgency of the need will be employed. Regulatory requirements will be tailored or waived, as appropriate.



Urgent Capability Acquisition

Pre-Development Activities (summarized), continued..

- **Activities, continued:**

- The tailored acquisition strategy should be ~~relatively~~ brief and contain only essential information, such as resourcing needs and sources; key deliverables; performance parameters; key risks and mitigation approaches; a production schedule; **a fielding schedule**; contracting methodology and key terms; and preliminary plans for **performance** assessment (~~which may or may not include test and evaluation~~), ~~deployment, training and sustainment~~. of the capability and its supportability, to include software.
- When designing the acquisition strategy, the PM, in collaboration with the requesting operational commander or sponsoring user ~~community~~ representative will determine whether an operational prototype is necessary **to reduce operational risk** and, **if so**, include this determination **and a notional assessment approach** in the acquisition strategy.



Information Requirements Unique to Urgent Capability Acquisition Process (Adapted from Table 1, DoDI 5000.81)

Statutory Information Requirements:

- **Assessment Approach, 10 USC 2366 and 2399.**
 - Due: Development and Production Decisions
 - DOT&E Oversight Programs. OT and live fire test plans submitted to DOT&E for approval at Development Decision. Post-deployment assessment plans submitted to DOT&E for approval at Production and Deployment Milestone. Approval required 60 days before start of testing.
 - Programs not under DOT&E oversight. Approved at Service level. May require a rapid and focused operational assessment, and live fire testing (if applicable).
- **Course of Action (COA) Analysis. Assessment Requirements of Subtitle III, Title 40, U.S. Code**
 - Due: Development Decision
 - Approved by the MDA. For JUONs, JEONs, critical warfighter issues identified by the Warfighter SIG, and SecDef or DepSecDef RAA determinations, a copy is due to the Executive Director, JRAC, within 3 business days of MDA approval.
- **RAA Recommendation.** Request to SecDef or DepSecDef for RAA approval. Part of development of Acquisition Strategy. MDA approves decision to request RAA at Development Decision.



Information Requirements Unique to Urgent Capability Acquisition Process (Adapted from Table 1, DoDI 5000.81)

Information Requirement, continued..

Regulatory Information Requirements:

- **Disposition Authority's Report to DoD Component Head. Part 4.5.e of DoDI 5000.81**
 - Based on the disposition official's recommendation in the Disposition Analysis, the Component Head will determine and document the disposition of the initiative and process it in accordance with applicable Component and requirements authority procedures

While above listed information requirements are specific to programs responding to urgent operational needs, they are additive to the requirements identified in Tables 2 and 6 DoDI 5000.02. (See <https://www.dau.edu/mdid/Pages/Default.aspx>)



Urgent Capability Acquisition

Entry into Development (summarized)

- **Entry into development is approved by the MDA**
- **PM Provides:** Acquisition Strategy and APB to include program requirements, schedule, activities, program funding, the assessment approach and intermediate decision points and criteria
- **The MDA will:**
 - Determine feasibility of fielding capability within required timelines to include consideration of the technical maturity of the preferred solution(s). **If the MDA determines that the fielding of the capability cannot be accomplished in the required timelines, then the MDA may direct partial or interim solutions that can be fielded more rapidly, or may direct that the program will be managed under a different authority.**
 - Review the acquisition strategy and program baseline and determine whether the preferred solution:
 - 1. Can be fielded within 2 years.
 - 2. Does not require substantial development effort.
 - 3. Is based on technologies that are proven and available.
 - 4. Can be acquired under a fixed price contract.
 - 5. Provides any exceptions necessary pursuant to ~~section 804 (b)(3) of P.L. 111-383~~, **including exceptions to the requirements of Paragraph (2)(b)1 through Paragraph (2)(b)4 (refers to 1 thru 4 above).**



Urgent Capability Acquisition

Entry into Development (summarized), continued

- **The MDA will, continued..**

- Approve Acquisition Strategy and APB
- Approve initial quantities to be produced and assessed, to include required assessment and training articles.
- Decide if RRA should be requested from SECDEF or DEPSECDEF to expedite the fielding
- Approve the planned testing approach. A normal test and evaluation master plan (TEMP) is generally not necessary.
 - TEMPs are usually not appropriate for **efforts using** urgent capability acquisition procedures when there is minimal development work and minimal development work and minimal test and evaluation to execute. Some test planning is usually required, however, in collaboration with the supporting developmental or operational test organization. The MDA may require a highly-tailored and abbreviated test plan. The abbreviated test plan will describe a performance assessment approach that will include schedule, test types and environment, and assets required. ~~An Operational Test Plan for the required pre-deployment performance assessment is generally adequate.~~



Urgent Capability Acquisition

Entry into Development (summarized), continued..

- The MDA will, continued..

- ~~– If the defense urgent capability acquisition program is under DOT&E oversight, a TEMP is also not normally required; however, the Program Manager should prepare a combined operational and live fire test plan for DOT&E approval.~~ A TEMP is also not normally required if the urgent capability acquisition effort is under DOT&E oversight. The PM, in collaboration with the supporting operational test organization, should prepare operational and live fire test plans for DOT&E approval, however. An operational test plan for the required pre-deployment performance assessment is generally adequate.
- Approve testing approach. If DOT&E oversight, PM prepares combined OT and live fire test plan for DOT&E approval
- Approve any waivers to statute or regulation
- Authorize release of RFPs
- Document decisions in an ADM



Urgent Capability Acquisition Development Activities (summarized)

- Assessment of performance, safety, suitability and survivability, **supportability, including software, and lethality, if appropriate.**
- Does not require all identified deficiencies be resolved prior to production or deployment
- MDA will, in consultation with the user and requirements validation authority, determine which deficiencies must be resolved and what risks can be accepted
 - **Accepted risks will allow the user community to develop tactics, techniques, and procedures to help minimize the operational risks.**
- IT, including National Security Systems require an authorization to operate in accordance with DoDI 8510.01.



Urgent Capability Acquisition

Entry Into Production & Deployment (P&D) (summarized)

Entry into P&D is approved by the MDA

PM:

- Summarizes results of development activities, **pre-deployment performance**, and the program assessment
- Presents plan to transport, deploy, and sustain the capability, and to conduct post-deployment assessments, and to train maintenance and operating personnel

MDA, in consultation with the supporting ~~operational test organization~~ **DT and OT and/or live fire** test organization, with concurrence of DOT&E for programs under DOT&E oversight, determines:

- Whether the capability has been adequately reviewed, performs satisfactorily, is supportable, and is ready for production and deployment.
- When assessments of fielded capabilities are required.
- Whether to produce and, in coordination with the requester/user, field the system capability, and
- Approves the updated acquisition strategy (which will include the sustainment plan and program baseline)
- Documents the production decision in an ADM



Urgent Capability Acquisition

Production & Deployment Activities (summarized)

During P&D the acquiring organization provides the warfighter with the needed capability, to include any required training, spares, technical data **to include known hazards and accepted mishap risks**, computer software, **temporary or permanent facilities or infrastructure**, support equipment, maintenance, or other logistics support necessary for operation.

(1) The DoD Components will ensure that the capability and required support (e.g., field service representatives, training) are deployed by the most expeditious means possible and tracked through to their actual delivery to the user.

(2) The DoD Components will coordinate with each other and the requiring activity to verify the total number of items required, considering necessary support and spares and training assets for deployed or pre-deployment training.

➤ **Upon deployment, the capability enters Operations & Support**



Urgent Capability Acquisition

Operations and Support (summarized)

- PM executes a **supportability strategy** that meets materiel readiness and operational support performance requirements and sustains the capability in the most cost-effective manner.
- Capability is operated and supported consistent with MDA approved sustainment plan.
- PM or user may propose urgently needed improvements – may be acquired using rapid acquisition procedures.
- Post-deployment assessment conducted.
- Post-deployment assessment approaches for all programs under DOT&E oversight will be independently reviewed and approved by DOT&E.



Urgent Capability Acquisition Disposition Analysis (summarized)

No later than 1 year after the program enters O&S (or earlier if directed by the DoD Component), the DoD Component will appoint an official to conduct a disposition analysis. ~~The DoD Component will notify the Executive Director, JRAC and the Deputy Director for Requirements and Capability Development in the Joint Staff J-8, when the disposition analyses for JUONs, JEONs, critical warfighter issues identified by the Warfighter SIG, or SecDef or DepSecDef RAA determinations are to be completed. Based on the analysis, the DoD Component head and the CAE will prepare a determination document for disposition of the system.~~

- The disposition analysis will consider the performance of the fielded ~~system~~ **capability**, **mishap data**, long term operational needs, and the relationship of the capability to the component's current and planned inventory of equipment.
- The analysis will also consider the continuation of non-materiel initiatives, the extension of science and technology developments related to the fielded capability, and the completion of MDA-approved and funded materiel improvements.



Urgent Capability Acquisition

Disposition Analysis (summarized), continued..

Disposition official will recommend one of the following options:

- **Termination: Demilitarization or Disposal**

The ~~system~~ **capability** will be demilitarized and disposed of in accordance with all legal and regulatory requirements and policy related to safety (including explosive safety) and the environment. The recommendation will be coordinated with the DoD Component or, for JUONs and JEONs, the Combatant Commands

- **Sustainment for Current Contingency.**

Operation and sustainment of the ~~system~~ **capability** will continue for the current contingency. Multiple sustainment decisions may be made should the capability require operations and support longer than two years; however, such sustainment decisions will be made and re-documented at least every two years. The sustained capability will continue to receive the same priority of action as the original acquisition program. This recommendation will be coordinated with the ~~DoD Component~~ **requirements** validation authority.



Urgent Capability Acquisition

Disposition Analysis (summarized), continued..

- **Transition to Program of Record (POR)**

If the ~~system provides a needed, enduring capability~~ serves an enduring purpose, it may be transitioned to a POR, once funded in the component's budget. Supportability and sustainment planning should include interim contract support and contract logistics support until the capability's formal programmed funding is established.

- The disposition official will recommend to the CAE the acquisition point of entry into the defense acquisition system, and whether the MDA should retain program authority or whether it should transition elsewhere.
- The requirements validation authority will specify the capability requirements documents required to support transition to a new or existing program of record.



Urgent Capability Acquisition

Disposition Determination (summarized)

- The disposition recommendation will be made to the DoD Component head for UONs, critical warfighter issues identified by the Warfighter SIG, or SecDef or DepSecDef RAA determinations.
- The DoD Component head, and the CAE will review the disposition official's recommendation and, **within 4 months of receipt of the recommendation**, record the DoD Component head's transition decision in a disposition determination. ~~The Determination will specify the requirements documents required by the validation authority to support the transition. Programs of record will follow the procedures for such programs described in this instruction.~~
- ~~The disposition determinations for JUONs, JEONs, critical warfighter issues identified by the Warfighter SIG, or SecDef or DepSecDef RAA determinations, will be provided to the Executive Director, JRAC and the Deputy Director for Requirements and Capability Development (DDRCD), Joint Staff J-8, by the Component head.~~



Links to Other Policy Documents for Urgent Capability Acquisition and Requirements

- [DoD Manual 5000.78](#), *Rapid Acquisition Authority*
- [DoDD, 5000.71](#), *Rapid Fulfillment of Combatant Commander Urgent Operational Needs*
- **Joint Staff**
 - [CJCSI 5123.01H](#), *Charter of the JROC and Operation of the Joint Capabilities Identification and Determination System (JCIDS)*, Encl D, *JCIDS Implementation*
 - [JCIDS Manual](#), Encl A, Appendix B, *JCIDS Urgent/Emergent Process*
- **Army**
 - [AR 71-9](#), *Warfighting Capabilities Determination*, Chapter 6, *Urgent Operational Needs Process*
 - [AR 70-1](#), *Army Acquisition Policy*, Chapter 13, *Urgent Capability Acquisition*
- **Navy & Marine Corps**, [SECNAVINST 5000.2F](#). Encl 5 and 8 refer to DoD policy and JCIDS
- **Air Force**
 - [AFPD 10-601](#), *Capability Requirements Development*, Chapter 7, *Urgent/Emergent Operational Needs*
 - [AF/A5R, Requirements Development Guidebook, Vol 2](#), *Urgent Needs*
- [USSOCOM Directive 71-4](#), restricted site